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Some properties of topological greyscale watersheds

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ABSTRACT

In this paper, we investigate topological watersheds.¹ For that purpose we introduce a notion of “separation between two points” of an image. One of our main results is a necessary and sufficient condition for a map G to be a watershed of a map F , this condition is based on the notion of separation. A consequence of the theorem is that there exists a (greedy) polynomial time algorithm to decide whether a map G is a watershed of a map F or not. We also show that, given an arbitrary total order on the minima of a map, it is possible to define a notion of “degree of separation of a minimum” relative to this order. This leads to another necessary and sufficient condition for a map G to be a watershed of a map F . At last we derive, from our framework, a new definition for the dynamics of a minimum.

Keywords: discrete topology, graph, watershed, dynamics, separation

1. INTRODUCTION

The watershed transform^{2–8} of greyscale images is very popular as an important step of image segmentation.^{9–11} Nevertheless, most existing approaches have three drawbacks:

- No clear formal definition of watersheds is used. As a consequence, no properties of these watersheds may be established.
- The watershed algorithms produce a binary result, that is, they lose the greyscale information that is present in the original image. This information may be useful for further processing (*e.g.*, connection of corrupted contours).
- Most popular watersheds algorithms, based on the flooding paradigm, produce watersheds which are not necessarily on the most significant contours of the original image.¹²

We investigate a topological approach¹ which allows to precisely define a greyscale watershed transform as an ultimate “W-thinning”, a W-thinning is a kind of thinning which preserves the “lower connected components” of the original image (see also¹³). Here, a greyscale image is considered as a map from the set of vertices of an arbitrary graph to the set of integers. This approach is very general (*e.g.* it applies to images of arbitrary dimensions) and it does keep track of the useful greyscale information. An algorithm was proposed for extracting such a watershed from a map. Nevertheless, at this time, no general properties of topological watersheds were proved.

In this paper we show that a topological watershed has several fundamental properties. We introduce a notion of “separation” between two points x and y : x and y are separated if the lowest altitude for joining x and y is strictly greater than the altitudes of both x and y . We establish four theorems which are based on this notion of separation:

- 1) Roughly speaking, we define a map G to be a separation of a map F if G is lower than F and if, whenever x and y are separated for F (by an altitude k), then x and y are separated for G (by an altitude k). Our first theorem asserts that it is sufficient to consider the separation between vertices belonging to the minima of F and G to know whether G is a separation of F or not.
- 2) Our second theorem is a necessary and sufficient condition for a map G to be a W-thinning of a map F : a map G is a W-thinning of a map F if and only if G is a separation of F and the minima of G are “extensions” of minima of F . A consequence of this theorem is that there exists a (greedy) polynomial time algorithm to decide whether a map G is a watershed of a map F or not. This is an unexpected result because, in the classical

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framework of homotopy (and simple points), such an algorithm cannot exist.¹⁴

3) Our third theorem gives another characterization of W-thinnings which are proved to be equivalent to the maps which “preserve the lower connected components”.

4) We show that, given an arbitrary total order on the minima of a map, it is possible to define a notion of “degree of separation of a minimum” relative to this order. Loosely speaking, we establish that a map G is a separation of a map F if and only if G preserves the degree of separation of each minimum of F . Combined with our second theorem, this leads to another necessary and sufficient condition for a map G to be a watershed of a map F . At last, we propose a new definition of the dynamics.^{15, 16}

An extended version of this paper will show the link between topological watersheds and minimum spanning trees.¹⁷ Forthcoming related papers will include properties of the dynamics,¹⁸ a new “emergence” paradigm for extracting topological watersheds,¹⁹ and quasi-linear time algorithms for topological watersheds.^{20, 21}

2. BASIC DEFINITIONS

We define a *finite graph* as a pair (E, Γ) where E is a finite set of elements called *vertices* or *points* and Γ is a map from E to $\mathcal{P}(E)$, $\mathcal{P}(E)$ being the family composed of all subsets of E . If $y \in \Gamma(x)$, we say that y is *adjacent to* x . If $X \subseteq E$ and $y \in \Gamma(x)$ for some $x \in X$, we say that y is adjacent to X .

The graph (E, Γ) is *symmetric* if, for all x and y in E , we have $x \in \Gamma(y)$ whenever $y \in \Gamma(x)$; (E, Γ) is *reflexive* if, for any x in E , we have $x \in \Gamma(x)$.

Let $X \subseteq E$, a *path in* X is a sequence $\pi = x_0, \dots, x_k$ such that $x_i \in X$, $i = 0, \dots, k$, and $x_i \in \Gamma(x_{i-1})$, $i = 1, \dots, k$. We also say that π is a *path from* x_0 *to* x_k . We say that X is *connected* if, for any x and y in X , there exists a path from x to y in X . We say that $Y \subseteq E$ is a *connected component of* $X \subseteq E$, if $Y \subseteq X$, Y is connected, and Y is maximal for these two properties. If $X \subseteq E$, we write $\overline{X} = \{x \in E; x \notin X\}$.

In the sequel of this paper, (E, Γ) will denote a finite reflexive and symmetric graph. For simplicity, we will furthermore assume that E is connected. All notions and properties may be easily extended for non-connected graphs.

Let $X \subseteq E$ and let $x \in X$. We say that the point x is:

- a *border point (for* X) if x is adjacent to at least one point of \overline{X} .
- an *inner point (for* X) if x is not a border point for X .
- *W-simple (for* X) if x is adjacent to exactly one connected component of \overline{X} .
- *separating (for* X) if x is adjacent to more than one connected component of \overline{X} .

We denote by $\mathcal{F}(E)$ the family composed of all maps on E to \mathbb{Z} .

Let $F \in \mathcal{F}(E)$ and let $k \in \mathbb{Z}$. We set $F_k = \{x \in E; F(x) \geq k\}$, F_k is the *cross-section of* F *at level* k .

Let $x \in E$ and let $k = F(x)$. We say that the point x is:

- a *border point (for* F) if x is a border point for F_k ;
- an *inner point (for* F) if x is an inner point for F_k ;
- *W-destructible (for* F) if x is W-simple for F_k ;
- *separating (for* F) if x is separating for F_k .

Let $F \in \mathcal{F}(E)$ and let $p \in E$. We denote by $[F \setminus p]$ the element of $\mathcal{F}(E)$ such that $[F \setminus p](p) = F(p) - 1$ and $[F \setminus p](x) = F(x)$ for all $x \in E \setminus \{p\}$.

Let F and G be two elements of $\mathcal{F}(E)$. We say that G is a *W-thinning* of F , if:

- i) $G = F$; or if
- ii) there exists a map H which is a W-thinning of F and there exists a W-destructible point p for H such that $G = [H \setminus p]$.

We say that G is a *watershed of* F if G is a W-thinning of F and if there is no W-destructible point for G .

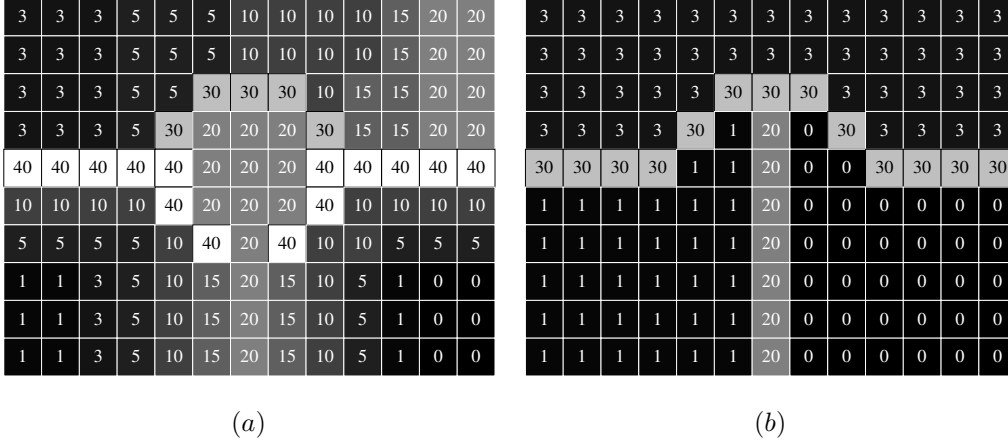


Figure 1. (a) original image, (b) a watershed of (a)

In Fig. 1 (a), a map F on E is depicted, here E is a subset of \mathbb{Z}^2 (a rectangle). We consider the map Γ induced by the well-known “4-adjacency relation”.²² A watershed of F (relative to (E, Γ)) is shown Fig. 1 (b).

Let F and K be elements of $\mathcal{F}(E)$ such that $K \leq F$. We say that G is a *W-thinning of F constrained by K* if G is a W-thinning of F such that $K \leq G$. We say that G is a *watershed of F constrained by K* if G is a W-thinning of F constrained by K and if any W-thinning H of G , with $H \neq G$, is such that the property $K \leq H$ is not true.

If x and y are two points of E , we denote by $\Pi(x, y)$ the set composed of all paths from x to y in E . Let $F \in \mathcal{F}(E)$. If π is a path in E , we set $F(\pi) = \text{Max}\{F(z); \text{for all points } z \text{ appearing in } \pi\}$. If x and y are two vertices of (E, Γ) , we set $F(x, y) = \text{Min}\{F(\pi); \pi \in \Pi(x, y)\}$, $F(x, y)$ is the *pass value for F between x and y* . If X and Y are two subsets of E , the *pass value for F between X and Y* is defined by $F(X, Y) = \text{Min}\{F(x, y); x \in X, y \in Y\}$.

Let $F \in \mathcal{F}(E)$ and let $k \in \mathbb{Z}$. A connected component C of $\overline{F_k}$ is said to be a *(regional) minimum (for F)* if $C \cap \overline{F_{k-1}} = \emptyset$. We denote by $\mathcal{M}(F)$ the set composed of all minima of F .

Let $F \in \mathcal{F}(E)$. We say that $X \subseteq E$ is a *flat zone for F* if X is connected, $F(x) = F(y)$ for all x, y in X , and X is maximal for these two properties. If X is a flat zone, we denote by $F(X)$ the *altitude of X , i.e.,* we have $F(X) = F(x)$ for any $x \in X$.

Observe that a minimum is necessarily a flat zone. Furthermore a flat zone X is a minimum for F if and only if any point $x \in \overline{X}$ which is adjacent to X is such that $F(x) > F(X)$.

3. SEPARATION

We introduce the notion of separation which plays a key role in our framework.

Let $F \in \mathcal{F}(E)$ and let x and y be two points in E .

We say that x and y are *separated (for F)* if $F(x, y) > \text{Max}\{F(x), F(y)\}$.

We say that x and y are *k-separated (for F)* if x and y are separated and $k = F(x, y)$.

We say that x and y are *linked (for F)* if x and y are not separated, in other words x and y are linked if $F(x, y) = \text{Max}\{F(x), F(y)\}$.

We say that x dominates y (for F) if $F(x, y) = F(x)$, in other words, x dominates y if there is a path π in $\Pi(x, y)$ such that $F(x) \geq F(z)$, for all z in π .

We denote by $\Lambda(F)$ the relation $\Lambda(F) = \{(x, y) \in E \times E; x \text{ dominates } y\}$. We also set $\Lambda(x, F) = \{y \in E; (x, y) \in \Lambda(F)\}$.

We observe that:

- i) Two points x and y are linked for F if and only if $y \in \Lambda(x, F)$ or $x \in \Lambda(y, F)$.
- ii) If $y \in \Lambda(x, F)$ and $x \in \Lambda(y, F)$, then we have $F(x) = F(y)$. The converse is, in general, not true.
- iii) The relation $\Lambda(F)$ is a preorder, i.e., $\Lambda(F)$ is a reflexive and transitive relation.
- iv) For any $x \in E$, there is at least one minimum X for F such that $X \subseteq \Lambda(x, F)$.
- v) A subset X of E is a minimum for F if and only if, for all points x in X , $\Lambda(x, F) = X$.
- vi) A point x of E belongs to a minimum for F if and only if, for all $y \in \Lambda(x, F)$, $x \in \Lambda(y, F)$.
- vii) If X and Y are two distinct minima for F , then, for all $x \in X$, $y \in Y$, x and y are separated.
- viii) Let x and y be two points belonging to the same flat zone for F . Then, for each point z of E , we have $F(x, z) = F(y, z)$. The converse is, in general, not true.

Property 1: Let x and y be two points which are k -separated for F . If x dominates z , then z and y are k -separated.

Proof:

Let π_1 be a path from x to y such that $F(x, y) = k$, thus $k > \text{Max}\{F(x), F(y)\}$. Let π_2 be a path from x to z such that $F(x, z) = F(x)$. We denote by π_2^{-1} the sequence obtained by reversing π_2 .

The path $\pi_3 = \pi_2^{-1} \cdot \pi_1$ is a path from z to y such that $F(\pi_3) = k$, thus, we must have $F(z, y) \leq k$.

Suppose π_4 is a path from z to y . The path $\pi_5 = \pi_2 \cdot \pi_4$ is a path from x to y such that $F(\pi_5) = \text{Max}[F(x), F(\pi_4)]$. We must have $F(\pi_4) \geq k$ otherwise we would have $F(x, y) < k$, thus, $F(z, y) \geq k$. Therefore we have $F(z, y) = k$ and, since $F(z) \leq F(x)$, $F(z, y) > \text{Max}\{F(z), F(y)\}$. \square

Property 2: Let $F \in \mathcal{F}(E)$ and let $k \in \mathbb{Z}$. Two points x and y belong to the same component of $\overline{F_k}$ if and only if $F(x, y) < k$.

Proof:

We have $\overline{F_k} = \{x \in E; F(x) < k\}$. Thus two points x and y belong to the same component of $\overline{F_k}$ if and only if there is a path π from x to y such that $F(\pi) < k$. \square

Property 3: Let $F \in \mathcal{F}(E)$ and let $k \in \mathbb{Z}$. Two points x and y belong to distinct components of $\overline{F_k}$ if and only if $F(x, y) \geq k > \text{Max}[F(x), F(y)]$.

Proof:

i) If x and y belong to distinct components of $\overline{F_k}$, then $F(x, y) \geq k$ (Prop. 2), furthermore, since x and y are in $\overline{F_k}$, we must have $F(x) < k$ and $F(y) < k$.

ii) If $F(x) < k$ and $F(y) < k$, then x and y belong to some components of $\overline{F_k}$. Furthermore, if $F(x, y) \geq k$, these components must be distinct (Prop. 2). \square

The following property is a direct consequence of properties 2 and 3:

Property 4: Let $F \in \mathcal{F}(E)$. Two points x and y are k -separated for F , if and only if:

- i) x and y belong to the same component of $\overline{F_{k+1}}$; and
- ii) x and y belong to distinct components of $\overline{F_k}$.

Property 5: Let $F \in \mathcal{F}(E)$ and let p be a separating point, we set $k = F(p)$. Let X and Y be two distinct components of $\overline{F_k}$ adjacent to p . Then any x in X and any y in Y are k -separated.

Proof: There exist two points $x' \in X$ and $y' \in Y$ which are adjacent to p , thus $\pi = x', p, y'$ is a path. Furthermore, there exists a path π_X in X from x to x' and a path π_Y in Y from y' to y . The path $\pi' = \pi_X \cdot \pi \cdot \pi_Y$ is a path from x to y such that $F(\pi') < k + 1$. Therefore, x and y belong to the same component of $\overline{F_{k+1}}$. Since x and y belong to distinct components of $\overline{F_k}$, x and y are k -separated (Prop. 4). \square

Let F and G be two elements of $\mathcal{F}(E)$ such that $G \leq F$. We say that G is a *separation* of F if, for all x and y in E , if x and y are k -separated for F , then x and y are k -separated for G .

The following theorem asserts that it is sufficient to consider minima of F for testing whether G is a separation of F or not.

Theorem 6 (restriction to minima): Let F and G be two elements of $\mathcal{F}(E)$ such that $G \leq F$. The map G is a separation of F if and only if, for all distinct minima X, Y in $\mathcal{M}(F)$, $F(X, Y) = G(X, Y)$.

Proof:

- i) Suppose G is a separation of F and let X, Y be distinct minima in $\mathcal{M}(F)$. Let $F(X, Y) = k$. For all $x \in X$, $y \in Y$, x and y are k -separated for F , hence they are k -separated for G . Thus, $G(X, Y) = \text{Min}\{G(x, y); x \in X, y \in Y\} = k = F(X, Y)$.
- ii) Suppose G is not a separation of F , i.e., there exist two points x and y which are k -separated for F but not k -separated for G . If x and y are not k -separated for G , it means either $G(x, y) \neq k$, or $G(x, y) = \text{Max}\{G(x), G(y)\}$. Since $G \leq F$, in both cases, we must have $G(x, y) < k$. Let X and Y be two minima for F such that $X \subseteq \Lambda(x, F)$ and $Y \subseteq \Lambda(y, F)$, thus $F(\{x\}, X) = F(x) < k$ and $F(\{y\}, Y) = F(y) < k$. By Prop. 1, we have $F(X, Y) = k$. Since $G(\{x\}, X) \leq F(\{x\}, X) < k$ and $G(\{y\}, Y) \leq F(\{y\}, Y) < k$, we have $G(X, Y) \leq \text{Max}\{G(X, \{x\}), G(x, y), G(\{y\}, Y)\} < k$. Therefore, $G(X, Y) \neq F(X, Y)$. \square

4. MINIMA EXTENSIONS

If a map G is a separation of a map F , it may be seen that G may have more minima than F . Since our purpose is to study W-thinnings and since W-thinnings cannot generate new minima, we introduce the following notion.

Let F and G be two maps in $\mathcal{F}(E)$ such that $G \leq F$.

We say that G is a *minima extension* or a *m-extension* of F if there is a bijection $\epsilon : \mathcal{M}(F) \rightarrow \mathcal{M}(G)$ such that:

- i) for all $X \in \mathcal{M}(F)$, $X \subseteq \epsilon(X)$; and
- ii) for all $X \in \mathcal{M}(F)$, $F(X) = G[\epsilon(X)]$.

Property 7: Let G be a *m-extension* of F and let ϵ be the corresponding bijection. Then, for each $X \in \mathcal{M}(G)$, $\epsilon^{-1}(X) = \{x \in X; F(x) = G(x)\}$.

Proof: Let $X \in \mathcal{M}(G)$. We first observe that, if Y is a minimum for F , and if $Y \cap X \neq \emptyset$, then $Y = \epsilon^{-1}(X)$, otherwise $\epsilon(Y)$ and X would be distinct minima for G with non empty intersection.

We have $\epsilon^{-1}(X) \subseteq \{x \in X; F(x) = G(x)\}$. Let $x \in X$, with $F(x) = G(x)$. Suppose $x \notin \epsilon^{-1}(X)$. Let Y be a minimum for F such that $Y \in \Lambda(x, F)$. It is not possible that $Y = \epsilon^{-1}(X)$, otherwise there would be a path of constant altitude between x and Y and we would have $x \in \epsilon^{-1}(X)$. Thus, $Y \neq \epsilon^{-1}(X)$, and, by the preceding remark, we must have $Y \cap X = \emptyset$. Let $\pi = x_0, \dots, x_l$ be a path from $x = x_0$ to $x_l \in Y$ and such that $F(\pi) = F(x)$. Let i the smallest value such that $x_i \notin X$. Since X is a minimum for G , we must have

$G(x_i) > G(X)$. Since $F(x_i) \geq G(x_i)$, we would have $F(x_i) > F(x)$ which contradicts $F(\pi) = F(x)$. \square

We observe that, if G is a m -extension of F , and H is a m -extension of G , then H is a m -extension of F . Furthermore, we have the two properties:

Property 8: *Let G and H be m -extensions of F . If $G \leq H$, then G is a m -extension of H .*

Proof: We denote by ϵ_G and ϵ_H the corresponding bijections relative to G and H , respectively. The bijection $\epsilon_G \circ \epsilon_H^{-1} : \mathcal{M}(H) \rightarrow \mathcal{M}(G)$ satisfies the condition ii) for m -extensions. Suppose the condition i) is not satisfied. It means there is a minimum X for H such that $X \not\subseteq \epsilon_G \circ \epsilon_H^{-1}(X)$, we set $Y = \epsilon_G \circ \epsilon_H^{-1}(X)$. Since $\epsilon_H^{-1}(X) \subseteq X$ and $\epsilon_H^{-1}(X) \subseteq Y$, we have $X \cap Y \neq \emptyset$. Since X is connected, it means there is a point $x \in X \cap \overline{Y}$ which is adjacent to Y . We have $H(x) = H(X) = G(Y)$. It is not possible that $G(x) < G(Y)$, otherwise Y would not be a minimum for G . But since $G \leq H$, we must have $G(x) = G(Y)$ and x would belong to Y . \square

Property 9: *Let G be a m -extension of both F and H . If $H \leq F$, then H is a m -extension of F .*

Proof: We denote by ϵ_F and ϵ_H the corresponding bijections relative to F and H , respectively. The bijection $\epsilon_H^{-1} \circ \epsilon_F : \mathcal{M}(F) \rightarrow \mathcal{M}(H)$ satisfies the condition ii) for m -extensions. Let $X \in \mathcal{M}(F)$. By Prop. 7, we have $\epsilon_H^{-1} \circ \epsilon_F(X) = \{x \in \epsilon_F(X); H(x) = G(x)\}$. We have $X \subseteq \epsilon_F(X)$. Furthermore, for all $x \in X$, $F(x) = G(x)$. Since $G \leq H \leq F$, we have $H(x) = G(x)$, for all $x \in X$. Thus $X \subseteq \epsilon_H^{-1} \circ \epsilon_F(X)$: the map $\epsilon_H^{-1} \circ \epsilon_F$ satisfies the condition i) for m -extensions. \square

Let F and G be two maps in $\mathcal{F}(E)$ such that $G \leq F$. We say that G is a *m -cover of F* if any minimum X for G contains at least one minimum Y for F such that $G(X) = F(Y)$.

We say that G is a *strong separation of F* if G is both a separation of F and a m -cover of F .

Property 10: *If G is a strong separation of F , then G is a m -extension of F .*

Proof: Suppose G is a strong separation of F .

- i) Let X be a minimum for G . There exists a minimum Y for F such that $Y \subseteq X$ and $G(X) = F(Y)$. Suppose x and x' are two elements of X such that $x \in Y$, $x' \in Y'$, with $Y' \in \mathcal{M}(F)$. Then we must have $Y = Y'$, otherwise x and x' would be separated for F and linked for G . Thus, any minimum X for G contains a unique minimum Y for F , and furthermore $G(X) = F(Y)$.
- ii) Let X be a minimum for F and let $x \in X$. Let Y be a minimum for G such that $Y \subseteq \Lambda(x, G)$. From i), there is a unique minimum X' for F such that $X' \subseteq Y$. We must have $X = X'$, otherwise x and any element $x' \in X'$ would be separated for F but not separated for G . Thus any minimum for F is contained in a minimum for G . Of course, this minimum is unique. \square

5. THE STRONG SEPARATION THEOREM

We are now in position to prove the equivalence between W -thinnings and strong separations. Beforehand, we have to establish the following property.

Property 11:

- i) *Let G and H be strong separations of F . If $G \leq H$, then G is a strong separation of H .*
- ii) *Let G be a strong separation of both F and H . If $H \leq F$, then H is a strong separation of F .*

Proof:

- i) The maps G and H are m -extensions of F (Prop. 10). By Prop. 8, G is also a m -extension of H . Thus, it is

sufficient to prove that G is also a separation of H .

Let X' and Y' be two distinct minima for H , let X, Y be the corresponding minima for F , and let X'', Y'' be the corresponding minima for G . Thus, $X \subseteq X' \subseteq X''$ and $Y \subseteq Y' \subseteq Y''$. We have $F(X, Y) = H(X, Y) = H(X', Y')$ (H is a separation of F) and $F(X, Y) = G(X, Y) = G(X', Y')$ (G is a separation of F). Thus, $H(X', Y') = G(X', Y')$. Therefore, by Th. 6, G is a separation of H .

ii) The map G is a m-extension of F and H (Prop. 10). By Prop. 9, H is also a m-extension of F . Thus, it is sufficient to prove that H is also a separation of F .

Let X and Y be two distinct minima for F , let X', Y' be the corresponding minima for H , and let X'', Y'' be the corresponding minima for G . Thus, $X \subseteq X' \subseteq X''$ and $Y \subseteq Y' \subseteq Y''$. We have $F(X, Y) = G(X, Y) = G(X', Y')$ (G is a separation of F) and $H(X, Y) = H(X', Y') = G(X', Y')$ (G is a separation of H). Thus, $F(X, Y) = H(X, Y)$. Therefore, by Th. 6, H is a separation of F . \square

Theorem 12 (strong separation): *Let F and G be two elements of $\mathcal{F}(E)$.*

The map G is a W-thinning of F if and only if G is a strong separation of F .

Proof:

1) Let p be a W-destructible point for F .

i) Let X be a minimum for $[F \setminus p]$.

Suppose $p \in X$. We note that it is not possible that $X = \{p\}$, otherwise p would be an inner point for F . Thus X contains at least two points. We can see that $X \setminus \{p\}$ is necessarily a minimum for F and that we have $F[X \setminus \{p\}] = [F \setminus p](X)$. Suppose $p \notin X$. Then X is a minimum for F and, trivially, $F(X) = [F \setminus p](X)$. Thus, in any cases, $[F \setminus p]$ is a m-cover of F . By induction, if G is a W-thinning of F , then G is a m-cover of F .

ii) Suppose x and y are k -separated for F but not k -separated for $[F \setminus p]$. We observe that we must have $[F \setminus p](x, y) = k - 1$. Thus, there is a path $\pi = x_0, \dots, x_l$, with $x_0 = x$, $x_l = y$, and such that $[F \setminus p](\pi) = (k - 1)$. Any path from x to y contains an elementary path from x to y , so we may suppose that π is elementary, *i.e.*, that all points appearing in π are distinct. We note that:

- there should be some x_i such that $x_i = p$, otherwise x and y would not be k -separated for F ;
- for the same reason, we must have $F(p) = k$;
- we must have $F(x_j) < k$, for all $0 \leq j \leq l$ and $j \neq i$, otherwise, since π is elementary, we would have $[F \setminus p](\pi) \geq k$;
- we must have $0 < i < l$, otherwise, we would have $F(x, y) = \text{Max}\{F(x), F(y)\}$, and x and y would not be separated for F ;
- x_{i-1} and x_{i+1} should be k -separated for F , otherwise we would have $F(x, y) < k$.

Thus, by Prop. 4, x_{i-1} and x_{i+1} would belong to distinct components of \overline{F}_k , and p would not be W-destructible for F .

By induction, if G is a W-thinning of F , then G is a separation of F .

2) Suppose G is a strong separation of F . Let H be a watershed of F constrained by G . If $H = G$ we are done. Suppose $H \neq G$.

The map H is a strong separation of F (first part of the proof), thus G is a strong separation of H (Prop. 11). Now, let p be a point such that $H(p) > G(p)$ and which is not W-destructible for H . Thus, p must be either an inner point or a separating point for H .

- Suppose p is a separating point for H . We set $k = H(p)$.

There should exist two points x and y which are adjacent to p and which belong to distinct components of \overline{H}_k . By Prop. 5, x and y are k -separated for H . The presence of the path $\pi = x, p, y$ implies that we would have $G(x, y) \leq (k - 1)$. The points x and y would not be k -separated for G , thus p cannot be a separating point.

- Suppose p is an inner point. We denote by $D(p, G)$ the set composed of all points x such that there exists a descending path for G from p to x , *i.e.*, a path x_0, \dots, x_j such that $x_0 = p$, $x_j = x$, and $G(x_i) \leq G(x_{i-1})$, $i = 1, \dots, j$. It may be seen that $D(p, G)$ contains necessarily a minimum for G . Let X such a minimum. Since G is a m-extension of H , there is a minimum X' for H such that $X' \subseteq X$ and $H(X') = G(X') = G(X)$. There exists a descending path for G from p to X' . Let $\pi = x_0, \dots, x_j$ be such a path, we have $x_0 = p$, and $H(x_j) = G(x_j) = H(X')$. Since $H(p) > G(p)$ there exists a largest number i such that $H(x_i) \neq G(x_i)$, this number satisfies $i < j$. Thus $H(x_i) > G(x_i)$, $H(x_{i+1}) = G(x_{i+1})$, and $G(x_{i+1}) \leq G(x_i)$ (since π is descending).

Therefore, we must have $H(x_i) > H(x_{i+1})$. In other words, x_i should be a border point for H . Since, from the preceding argument, x_i cannot be a separating point, it means that x_i would be a W-destructible point for H such that $H(x_i) > G(x_i)$ which contradicts the fact that H is a watershed of F constrained by G . \square

Prop. 11 and Th. 12 lead to the following “confluence” property which shows that W-thinnings are related to greedy structures²³:

Theorem 13 (confluence): *Let F, G, H be maps in $\mathcal{F}(E)$ such that G is a W-thinning of F and $G \leq H \leq F$.*

The map H is a W-thinning of F if and only if G is a W-thinning of H .

Let us consider the following recognition problem \mathcal{P} : given two maps F and $G \leq F$ in $\mathcal{F}(E)$, decide whether G is a W-thinning of F or not. By definition, G is a W-thinning of F if G may be obtained from F by iteratively lowering (by one) W-destructible points. If we directly use this definition for solving \mathcal{P} , we get an exponential method. By Th. 13, \mathcal{P} may be solved by the following greedy method which is polynomial:

Set $H = F$;

i) arbitrarily select a point p which is W-destructible for H and which satisfies $H(p) > G(p)$;

ii) do $H = [H \setminus p]$.

Repeat i) and ii) until stability; G is a W-thinning of F if $H = G$, otherwise G is not a W-thinning of F .

The above confluence property does not hold in the framework of homotopic thinnings (by deformation retract,²⁴ or by collapse,²⁵ or by simple points removal²²). A counter-example is the so-called Bing’s house.¹⁴ A 3D-cube C may be thinned till one point P , but it may also be thinned till a Bing’s house B . We may have $P \subseteq B \subseteq C$, but B cannot be thinned till P . This very example shows that, in the general case, the above greedy method does not work for the recognition problem in the framework of homotopic thinnings. Of course, W-thinnings preserve less topological characteristics than homotopic thinnings. Nevertheless, the confluence property ensures that arbitrary W-thinnings cannot get “stuck” in some configurations.

6. EXTENSIONS

In this section, we will prove the equivalence between W-thinnings and maps which preserve all “lower connected components”.

Let X be a subset of E . We denote by $\mathcal{C}(X)$ the set composed of all connected components of \overline{X} . Let X and Y be subsets of E such that $Y \subseteq X$. The *component map relative to (X, Y)* , is the map ϵ from $\mathcal{C}(X)$ to $\mathcal{C}(Y)$ such that, for any $C \in \mathcal{C}(X)$, $\epsilon(C)$ is the connected component of \overline{Y} which contains C .

Let F, G in $\mathcal{F}(E)$ such that $G \leq F$. Let $k \in \mathbb{Z}$. The *k -component map relative to (F, G)* , is the component map ϵ_k relative to (F_k, G_k) .

We say that G is an *extension of F* if, for any $k \in \mathbb{Z}$, ϵ_k is a bijection.

Property 14: Let G be an extension of F . If $X \in \mathcal{C}(F_k)$ and $Y \in \mathcal{C}(F_l)$, then $Y \subseteq X$ if and only if $\epsilon_l(Y) \subseteq \epsilon_k(X)$.

Proof:

i) If $Y \subseteq X$, we will have $\epsilon_l(Y) \cap \epsilon_k(X) \neq \emptyset$, and, since G is a map, we must have $\epsilon_l(Y) \subseteq \epsilon_k(X)$.

ii) Suppose $Y \not\subseteq X$. Without loss of generality, suppose $l \leq k$. Let Z be the element of $\mathcal{C}(F_k)$ which contains Y , we have $Z \neq X$. Since, from i), $\epsilon_l(Y) \subseteq \epsilon_k(Z)$, and since $X \neq Z$, $\epsilon_k(X)$ and $\epsilon_k(Z)$ are distinct: we must have $\epsilon_l(Y) \not\subseteq \epsilon_k(X)$. \square

Theorem 15: *Let F, G in $\mathcal{F}(E)$ such that $G \leq F$.
The map G is a W -thinning of F if and only if G is an extension of F .*

Proof:

- i) Let p be a W -destructible point for F and let $F' = [F \setminus p]$. For any $k \neq F(p)$, $\mathcal{C}(F_k) = \mathcal{C}(G_k)$, trivially ϵ_k is a bijection. If $k = F(p)$, by the very definition of a W -destructible point, each component of $\mathcal{C}(F'_k)$ contains one component of $\mathcal{C}(F_k)$ and two distinct components of $\mathcal{C}(F_k)$ are contained in distinct components of $\mathcal{C}(F'_k)$. Thus, ϵ_k is a bijection. By induction, if G is a W -thinning of F , then G is an extension of F .
- ii) Suppose G is an extension of F .
 - Let X be a minimum for G and let $k = G(X) + 1$, we have $X \in \mathcal{C}_k(G)$. The set $Y = \epsilon_k^{-1}(X)$ is a component in $\mathcal{C}_k(F)$ and is a minimum for F otherwise there would exist $Z \in \mathcal{C}_{k-1}(F)$, with $Z \subseteq Y$ and we would have $\epsilon_{k-1}(Z) \in \mathcal{C}_{k-1}(G)$, with $Z \subseteq X$. We have also $Y \subseteq X$ and $F(Y) = G(X)$. Thus, G is a m -cover of F .
 - Let X and Y be two minima for F and let $F(X, Y) = k$. Thus, X and Y are subsets of a component $Z \in \mathcal{C}_{k+1}(F)$. The set $\epsilon_{k+1}(Z)$ is in $\mathcal{C}_{k+1}(G)$, it contains X and Y (from Prop. 14), thus $G(X, Y) \leq k$. In a reverse way, if $Z \in \mathcal{C}(G_l)$ contains X and Y , $\epsilon_l^{-1}(Z) \in \mathcal{C}_l(F)$ contains X and Y (from Prop. 14), thus we must have $G(X, Y) = k$: G is a separation of F . From Th. 12, G is a W -thinning of F \square

7. ORDERED MINIMA AND THE DYNAMICS

Let us consider the following recognition problem \mathcal{P}' : given two maps F and $G \leq F$ in $\mathcal{F}(E)$, decide whether G is a separation of F or not. If we directly apply the definition of a separation, we have to compute all the values $F(x, y)$, $x \in E$ and $y \in E$, check from these values which pairs of points are separated, and, for these pairs, check if $F(x, y) = G(x, y)$. We can say that n^2 pass values relative to F , with $n = |E|$ are used to solve \mathcal{P}' . Theorem 6 asserts that, in fact, it is sufficient to consider pass values between minima of F . Thus m^2 values relative to F are sufficient, with $m = |\mathcal{M}(F)|$. This shows that the above n^2 values contain some “redundant information”. In this section, we will see that, again, these m^2 values contain redundant information and that only $m - 1$ values are necessary to solve \mathcal{P}' .

Let $F \in \mathcal{F}(E)$ and let \mathcal{E} be a family composed of non empty subsets of E .

Let \prec be an *ordering* on \mathcal{E} , i.e., \prec is a relation on \mathcal{E} which is transitive and trichotomous (for any X, Y in \mathcal{E} , one and only one of $X \prec Y$, $Y \prec X$, $X = Y$ is true).

We denote by X^\prec the element of \mathcal{E} such that, for all $Y \in \mathcal{E} \setminus \{X^\prec\}$, $X^\prec \prec Y$.

Let $X \in \mathcal{E}$. The *pass value* of X for (F, \prec) is the number $F(X, \prec)$ such that:

- If $X = X^\prec$, then $F(X, \prec) = \infty$; and
- If $X \neq X^\prec$, then $F(X, \prec) = \text{Min}\{F(X, Y); \text{ for all } Y \in \mathcal{E} \text{ such that } Y \prec X\}$.

Theorem 16 (ordered minima): *Let F, G be elements of $\mathcal{F}(E)$ such that $G \leq F$.*

Let \prec be an ordering on the minima of F . The map G is a separation of F if and only if, for each minimum X for F , we have $F(X, \prec) = G(X, \prec)$.

Proof: By Th. 6, if G is a separation of F , then $F(X, \prec) = G(X, \prec)$.

Suppose $G \leq F$ is not a separation of F . By Th. 6, it means that there exist two distinct minima for F , say X and Y , such that $F(X, Y) \neq G(X, Y)$. We set $k = F(X, Y)$, thus $G(X, Y) < k$.

By Prop. 4, there exist two distinct components X' and Y' of $\overline{F_k}$ such that $X \subseteq X'$, $Y \subseteq Y'$. Furthermore, there exists a component C of $\overline{F_{k+1}}$ such that $X' \subseteq C$ and $Y' \subseteq C$.

Let X'' (resp. Y'') be the minimum for F which is a subset of X' (resp. Y') such that $X'' \prec Z$ (resp. $Y'' \prec Z$), for all $Z \in \mathcal{M}(F)$, $Z \subseteq X'$ and $Z \neq X''$ (resp. $Z \subseteq Y'$ and $Z \neq Y''$). By Prop. 2 and 4, we have $F(X, X'') < k$, $F(Y, Y'') < k$, and $F(X'', Y'') = k$.

Since $G(X'', Y'') \leq \text{Max}\{G(X'', X), G(X, Y), G(Y, Y'')\}$, and since $G \leq F$, we have $G(X'', Y'') < k$.

Without loss of generality, suppose $Y'' \prec X''$. We observe that, since all minima Z for F such that $F(X'', Z) < k$ satisfy $X'' \prec Z$, we must have $F(X'', \prec) \geq k$. Furthermore, since $Y'' \prec X''$, we have $F(X'', \prec) \leq k$. The result

is $F(X'', \prec) = k$.

But $G(X'', \prec) < k$, which follows from $G(X'', Y'') < k$ and $Y'' \prec X''$. From this we conclude that $F(X'', \prec) \neq G(X'', \prec)$. \square

The above definition of the pass value of a minimum leads to a new notion of dynamics the definition of which is given below. In a forthcoming paper,¹⁸ it will be shown that this notion “encodes more topological features” than the original one.¹⁵

Let $F \in \mathcal{F}$. Let \prec be an ordering on $\mathcal{M}(F)$. We say that \prec is an *altitude ordering* on $\mathcal{M}(F)$ if $X \prec Y$ whenever $F(X) < F(Y)$.

Let \prec be an altitude ordering of $\mathcal{M}(F)$ and let X be a minimum for F . The *dynamics of X for (F, \prec)* is the value $\text{Dyn}(X; F, \prec) = F(X, \prec) - F(X)$.

In Fig. 2, a topological watershed (b) of the original image (a) is represented. The minima of the watershed (c) illustrate the well-known over-segmentation problem. Using the methodology introduced in mathematical morphology¹⁵ and our notions, we can extract all the minima which have a dynamics (according to an altitude ordering) greater than a given threshold (here 20), and suppress all others with a geodesic reconstruction. We obtain the image (d), the watershed (e), and the minima (f).

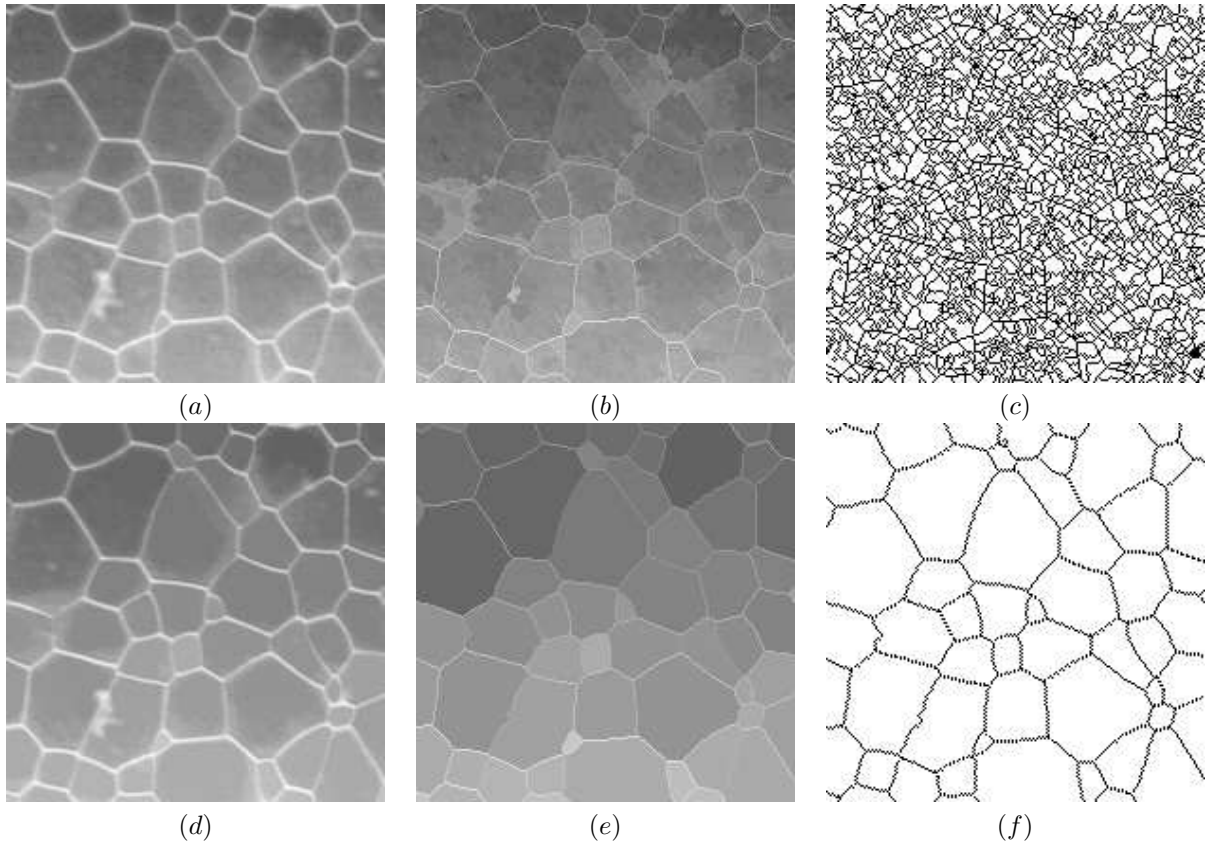


Figure 2. (a): original image, (b): a topological watershed of (a), (c): the minima of (b), (d): a filtering of (a) with ordered dynamics, (e): a topological watershed of (d), (f): the minima of (e).

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